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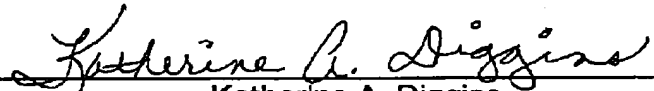
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Katherine A. Diggins
Assistant to Gerry J. Elman, Reg. no. 24,404

Application Number

09/624,330

TITLE: SYSTEM AND METHOD FOR SELECTING AND
PURCHASING STOCKS VIA A GLOBAL COMPUTER
NETWORK

First named inventor:

O/Shaugnessy

AU/Examiner:

3628/POINVIL

Attorney Docket No.

GLO1.004CIP (was N24-004)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application no:	09/624,330
Confirmation no.	6594
Attorney Docket no.:	GLO1.004CIP (was N24-004)
First Named Inventor:	O'Shaughnessy
AU/Examiner	3628/POINVIL

MAIL STOP OIPE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FOREIGN PRIORITY DATA

Applicant respectfully requests the Office of Initial Patent Examination to remove the foreign priority data recorded for this patent application.

INCORRECT DATA AS SHOWN ON PRIVATE PAIR as of April 4, 2006

Foreign Priority		
Country	Priority	Priority Date
GERMANY	198 50 832.8	11-04-1998

REMOVE THIS FOREIGN PRIORITY APPLICATION DATA

Foreign Priority		
Country	Priority	Priority Date
GERMANY	198 50 832.8	11-04-1998

Applicant does not claim priority from the aforementioned German patent application. Applicant reiterates here its claim of priority under 35 U.S.C. 120 to United States Patent Application No. 09/433,531, with no claim of foreign priority, as correctly stated in the "Cross Reference to Related Applications" heading on the first page of the application as filed.

Applicant acknowledges that the parent of the instant application (number 09/433,531) was incorrectly recorded on other documents accompanying the original patent filing. (In those, it is shown wrongly as 09/433,351.) Applicant believes that the German priority data is associated with the 09/433,351 application. Nonetheless,

*Request for Correction of Parent Continuity Data
U.S. Patent Application 09/624,330*

Applicant submits that the data appearing in the first paragraph of the specification entitled "Cross Reference to Related Application" should be regarded as the ultimate source for continuity data entered into the PAIR electronic information system. See 37 C.F.R. 1.78(a)(2)(i) and MPEP § 201.11.B.

Applicant submits herewith a true and correct copy of the first page of the specification as originally filed to assist the Office in implementing this correction.

Respectfully submitted:

April 4, 2006

/GerryJayElman/

Gerry J. Elman
Reg. 24,404
Customer no. 003775

Phone: 610-892-9942
efax: 925-226-4995
email:gerry@elman.com

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N24-004

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Cross Reference to Related Applications

This is a Continuation-in-Part Application claiming priority under 35 U.S.C. Section 120 from U.S. Patent Application No. 09/433,531 filed November 3, 1999, which in turn is a Continuation-in-Part of U.S. Patent Application No. 09/360,003 filed July 23, 1999.

Field of the Invention

The invention is in the field of using a computer to provide automated allocation advice, selection of investment securities, customization of automated advice, execution of investment securities, maintenance/monitoring of investment portfolios and rebalancing of investment portfolios by individuals.

Background of the Invention

Designed as a possible replacement for mutual funds for individual investors, the invention allows individual investors to participate in an online platform that provides customizable, actionable advice at low cost for the general retail consumer. Because of individual ownership, many of the tax disadvantages associated with mutual fund investment are avoided.

For example: on day one, an individual invests \$10,000 in a mutual fund. On day two, the mutual fund liquidates some assets acquired prior to day one, which incurs a capital gains tax on the part of all investors as of day one. Thus, this individual is burdened with the tax consequences of a gain which she never received.

Summary of the Invention

The invention is in the field of using a computer to provide automated investment allocation advice, selection of investment securities, customization of the automated advice, execution of investment securities, maintenance/monitoring of investment portfolios and rebalancing of investment portfolios. A user is connected to the Internet or other communication channel via a personal computer, terminal, personal digital assistant, cell phone or other communication device. Via the communication channel, the user connects to a portfolio management program (PMP) running on a computer. The

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